

JCO4 Rec'd PCT/PTO 0 6 FEB 2001

FORM PTO-1390  
REV. 5-93

US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEYS DOCKET NUMBER  
P01,0024

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

09/762423

INTERNATIONAL APPLICATION NO.  
PCT/DE99/02675

INTERNATIONAL FILING DATE  
26 AUGUST 1999

PRIORITY DATE CLAIMED  
27 AUGUST 1998

TITLE OF INVENTION

**METHOD FOR CONTROLLING THE OFFERING OF AT LEAST ONE ADDITIONAL TRANSMISSION  
CHANNEL AS ACCESS TO A PACKET-SWITCHING NETWORK**

APPLICANT(S) FOR DO/EO/US

**CHRISTIAN PREHOFFER**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
  2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
  3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.
  4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
  5. ☒ A copy of International Application as filed (35 U.S.C. 371(c)(2)) - drawings attached.
    - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
    - b. ☐ has been transmitted by the International Bureau.
    - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
  6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)) - drawings attached.
  7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
    - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
    - b. ☐ have been transmitted by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☒ have not been made and will not be made.
  8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
  9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
  10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11. to 16. below concern other document(s) or information included:
11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report, 08 References).
  12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.  
(SEE ATTACHED ENVELOPE)
  13. ☒ Amendment "A" Prior to Action and Appendix "A".
    - ☐ A SECOND or SUBSEQUENT preliminary amendment.
  14. ☒ A substitute specification and substitute specification mark-up.
  15. ☒ A change of address letter attached to the Declaration.
  16. ☒ Other items or information:
    - a. ☒ Request for Approval of Drawing Additions
    - b. ☒ Appointment of Associate Power of Attorney
    - c. ☒ EXPRESS MAIL #EL655300933US dated February 6, 2001

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.55) <b>09/762429</b>		INTERNATIONAL APPLICATION NO. <b>PCT/DE99/02675</b>		ATTORNEY'S DOCKET NUMBER <b>P01,0024</b>	
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<b>17. <input checked="" type="checkbox"/> The following fees are submitted:</b>  <b>BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):</b> Search Report has been prepared by the EPO or JPO ..... \$860.00  International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) .. \$690.00  No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO (37 C.F.R. 1.445(a)(2)) ..... \$710.00  Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO ..... \$1000.00  International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$ 100.00  <div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div>				<b>CALCULATIONS</b>	<b>PTO USE ONLY</b>

Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).				\$	
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Claims	Number Filed	Number Extra	Rate		
<b>Total Claims</b>	07 - 20 =	0	X \$ 18.00	\$	
<b>Independent Claims</b>	01 - 3 =	0	X \$ 80.00	\$	
<b>Multiple Dependent Claims</b>			\$270.00 +	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 860.00	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)				\$	
<b>SUBTOTAL =</b>				\$ 860.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				\$ 860.00	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property				\$	
<b>TOTAL FEES ENCLOSED =</b>				\$ 860.00	
				Amount to be refunded	\$
				charged	\$

a. ☒ A check in the amount of \$ 860.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1519. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

<b>SEND ALL CORRESPONDENCE TO:</b> <b>SCHIFF HARDIN &amp; WAITE</b> <b>PATENT DEPARTMENT</b> <b>6600 Sears Tower</b> <b>233 South Wacker Drive</b> <b>Chicago, Illinois 60606-6473</b>  <b>CUSTOMER NUMBER 26574</b>	<div style="text-align: center;"> <b>SIGNATURE</b> </div> <div style="text-align: center;">       Mark Bergner  <b>NAME</b> </div> <div style="text-align: center;"> <u>45,877</u>  <b>Registration Number</b> </div>
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JC04 6965-0879 099762423  
PCT/PTO 06 FEB 2001

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

**Express Mail Mailing Label Number EL655300933US**

**Date of Deposit: February 6, 2001**

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10(c) on the date indicated above and is addressed to:

**BOX PCT  
Assistant Commissioner for Patents  
Washington DC 20231**

**Case Number: P01,0024  
Applicant(s): Christian Prehofer**

**International Application No. PCT/DE99/02675  
International Filing Date 26 August 1999  
Priority Date Claimed 27 August 1998**

**Title: METHOD FOR CONTROLLING THE OFFERING OF AT LEAST ONE  
ADDITIONAL TRANSMISSION CHANNEL AS ACCESS TO A  
PACKET-SWITCHING NETWORK**

**Enclosed are the following documents:**

International application as filed, drawings attached;  
English Translation, drawings attached;

Executed Declaration;  
Change of Address form for Applicants' Representative;

PTO 1390 in duplicate;  
Amendment "A" prior to action and Appendix "A";

Information Disclosure Statement, PTO 1449, Search Report, 08 References;

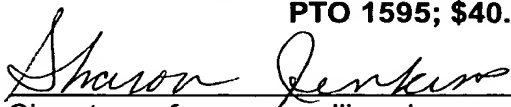
Appointment of Associate Power of Attorney;

Drawing Additions;

Substitute Specification and Substitute Specification Mark-Up;

Fee: \$860.00;  
Postcard.

**(See attached envelope for Executed Assignment;  
PTO 1595; \$40.00 filing fee; Postcard)**

  
\_\_\_\_\_  
Signature of person mailing documents and fees

09762423-02675

**BOX PCT**  
**IN THE UNITED STATES DESIGNATED/ELECTED OFFICE**  
**OF THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**UNDER THE PATENT COOPERATION TREATY-CHAPTER II**

**CHANGE OF ADDRESS OF APPLICANTS' REPRESENTATIVE**

APPLICANT(S): CHRISTIAN PREHOFER  
ATTORNEY DOCKET NO.: P01,0024  
INTERNATIONAL APPLICATION NO: PCT/DE99/02675  
INTERNATIONAL FILING DATE: 26 AUGUST 1999  
INVENTION: METHOD FOR CONTROLLING THE OFFERING OF AT LEAST ONE  
ADDITIONAL TRANSMISSION CHANNEL AS ACCESS TO A PACKET-  
SWITCHING NETWORK

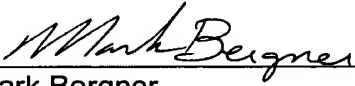
Assistant Commissioner for Patents,  
Washington D.C. 20231

S I R:

Members of the firm of Hill & Simpson designated on the original Power of Attorney have merged into the firm of Schiff Hardin & Waite. All future correspondence for the above-referenced application therefore should be sent to the following address:

**SCHIFF HARDIN & WAITE**  
**Patent Department**  
**6600 Sears Tower**  
**233 South Wacker Drive**  
**Chicago, Illinois 60606-6473**  
**CUSTOMER NUMBER 26574**

Submitted by,

  
\_\_\_\_\_  
Mark Bergner (Reg. No. 45,877)  
SCHIFF HARDIN & WAITE  
Patent Department  
6600 Sears Tower  
Chicago, Illinois 60606-6473  
Telephone: (312) 258-5779  
Attorneys for Applicants  
**CUSTOMER NUMBER 26574**

09762423-022601

09/762423

JC02 Rec'd PCT/PTO 06 FEB 2001

BOX PCT  
IN THE UNITED STATES DESIGNATED/ELECTED OFFICE  
OF THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UNDER THE PATENT COOPERATION TREATY--CHAPTER II

APPLICANT(S): CHRISTIAN PREHOFER  
ATTORNEY DOCKET NO.: P01,0024  
INTERNATIONAL APPLICATION NO: PCT/DE99/02675  
INTERNATIONAL FILING DATE: 26 AUGUST 1999  
INVENTION: METHOD FOR CONTROLLING THE OFFERING OF AT  
LEAST ONE ADDITIONAL TRANSMISSION CHANNEL AS  
ACCESS TO A PACKET-SWITCHING NETWORK

Assistant Commissioner for Patents,  
Washington, D.C. 20231

**REQUEST FOR APPROVAL OF DRAWING ADDITIONS**

Sir:

Enclosed is one sheet of drawings, Single Figure, showing in red,  
the addition of labels to the elements depicted therein. Approval of the  
additions is respectfully requested.

Submitted by,



(Reg. No. 45,877)

Mark Bergner  
SCHIFF HARDIN & WAITE  
PATENT DEPARTMENT  
6600 Sears Tower  
Chicago, Illinois 60606-6473  
(312) 258-5779  
Attorney for Applicant(s)

**CUSTOMER NUMBER 26574**

09/762423 022601

09/762423

JG02 Rec'd PCT/PTO 06 FEB 2001

Siemens AG  
New PCT application  
26965-0870 (P-01,0024)  
1998P02421WOUS  
Inventor: Prehofer

Translation / January 26, 2001 / 1696(911) / 3700 words

09/762423